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ress Mail No.: <u>EV459193749US</u>

11SW-4908 PATENT

6 0 2 2004 ZIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter J. Radusewicz, et al.

Art Unit: 2836

Serial No.: 09/751,868

Examiner: Gregory J. Toatley

Filed: December 29, 2000

AUTOMATIC TRANSFER

SWITCH SYSTEMS AND

**CONTROLLERS** 

# PETITION TO CORRECT INVENTORSHIP (37 C.F.R. § 1.48(a))

Mail Stop: Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For:

This Amendment and Petition is to correct the incorrect naming of inventors in the declaration under C.F.R. 1.48(a) as set forth and filed on December 29, 2000.

1. Please add the following previously unnamed persons as inventors of this application: Walter Paul Payack, Jr., and Carl Kyrk.

#### 2. Attached is:

a statement from each person being added as an inventor that the error in inventorship occurred without deceptive intent on his or her behalf (37 C.F.R. 1.48(a)(2));

a declaration executed by each of the actual inventors: *Peter Jerome Radusewicz (3 pgs.); Walter Paul Payack, Jr., (3 pgs.); and Carl Kyrk (3 pgs.)* as required by 37 C.F.R. 1.63 (37 C.F.R. 1.48(a)(3);

written consent of the Assignee (37 C.F.R. 1.48(a)(5)); and

Express Mail No.: EV459193749US

11SW-4908 PATENT

a copy of the executed Assignments recorded at *Reel/Frame*: <u>011420/0282</u>, and at <u>014878/0992</u>, establishing the Assignee's right to take action (37. C.F.R. § 3.73(b)).

3. In accordance with 37 C.F.R. 1.48(a)(4), an authorization to charge Deposit Account No. 01-2384 the fee set forth in C.F.R. 1.17(i) in the amount of \$130.00 is submitted herewith (A duplicate copy of this Petition is enclosed). If any additional fees are required, charge Account No. 01-2384.

Respectfully submitted,

Patrick W. Rasche, Reg. No.: 37,916 ARMSTRONG TEASDALE LLP One Metropolitan Square, Suite 2600 St. Louis, Missouri 63102-2740

(314) 621-5070



For:

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter J.Radusewicz, et al.

Art Unit: 2836

Serial No.: 09/751,868

Examiner: Gregory J. Toatley

Filed: December 29, 2000

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AUTOMATIC TRANSFER SWITCH

SYSTEMS AND CONTROLLERS

# CERTIFICATE OF MAILING BY EXPRESS MAIL TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Express Mail Mailing Label No.: EV459193749US

Date of Mailing: August 4, 2004

I hereby certify that the documents listed below:

- Petition to Correct Inventorship (2 pgs., in duplicate)
- Statement of Omitted Inventor (1 pg., of inventor: W. Payack, Jr.)
- Statement of Omitted Inventor (1 pg., of inventor: C. Kyrk)
- Declaration and Power of Attorney (9 pgs., of inventors: P. Radusewicz (3 pgs.); W. Payack, Jr. (3 pgs.); & C. Kyrk (3 pgs.))
- Consent of Assignee to Correct Inventorship (1 pg., executed on behalf of Assignee: GENERAL ELECTRIC COMPANY, by K. Vick)
- Copy of Recordation of Assignment Document for Assignment Recorded at *Reel/Frame:* 011420/0282, of inventor P. Radusewicz (3 pgs.)
- Copy of Recordation of Assignment Document for Assignment Recorded at *Reel/Frame:* <u>014878/0992</u>, of inventors W. Payack, Jr. (3 pgs.) & C. Kyrk (3 pgs.)
- Certificate of Express Mail (1 pg.)
- Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to: Mail Stop: Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Patrick W. Rasche, Reg. No.: 37,916

Armstrong Teasdale LLP

One Metropolitan Square, Suite 2600

St. Louis, MO 63012

(314) 621-5070



For:

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter J. Radusewicz, et al.

Art Unit: 2836

Serial No.: 09/751,868

Examiner: Gregory J. Toatley

Filed: December 29, 2000

**AUTOMATIC TRANSFER SWITCH SYSTEMS AND** 

**CONTROLLERS** 

STATEMENT OF OMITTED INVENTOR UNDER 37 C.F.R. 1.48(a)

Mail Stop: Petition

Hon. Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

This statement is submitted under 37 C.F.R. 1.48(a)(2) to add previously omitted inventor, *Carl Kyrk*, as co-inventor in Patent Application Serial No.: 09/751,868, filed: **December 29, 2000**. This error in inventorship occurred without deceptive intent on my part.

07~18-2004,2004 Date



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter J. Radusewicz, et al.

Art Unit: 2836

Serial No.: 09/751,868

Examiner: Gregory J. Toatley

Filed: December 29, 2000

For:

AUTOMATIC TRANSFER SWITCH SYSTEMS AND

**CONTROLLERS** 

# CONSENT OF ASSIGNEE TO CORRECTION OF INVENTORSHIP AND STATEMENT OF OWNERSHIP UNDER 3.73(b)

Mail Stop: Petition

Hon. Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Assignee, GENERAL ELECTRIC COMPANY, of 1 River Road, Schenectady, NY 12345, a New York Corporation, the Assignee of the entire right, title, and interest in the aforementioned Patent Application and through the undersigned, who is authorized to act on behalf of Assignee hereby consents to the correction of inventorship filed herewith.

The entire rights, title, and interest in the aforementioned Patent Application were conveyed to GENERAL ELECTRIC COMPANY, via an Assignment from inventor: Peter Jerome Radusewicz recorded with the United States Patent and Trademark Office at Reel/Frame Number: 011420/0282, and an Assignment from inventors: Walter Paul Payack, Jr., and Carl Kyrk, recorded at Reel/Frame Number: 014878/0992.

Date: July 30, 2004

Respectfully submitted,

Karl A. Vick, Esq.

Senior Intellectual Property Counsel GENERAL ELECTRIC COMPANY





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

MARCH 27, 2001

**PTAS** 

\*101585814A\*

ARMSTRONG, TEASDALE, LLP. JOHN S. BEULICK ONE METROPOLITAN SQ. SUITE 2600 ST. LOUIS, MO 63102

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/29/2000

REEL/FRAME: 011420/0282

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RADUSEWICZ, PETER J.

DOC DATE: 12/28/2000

ASSIGNEE:

GENERAL ELECTRIC COMPANY ONE RIVER ROAD

SCHENECTADY, NEW YORK 12345

SERIAL NUMBER: 09751869

PATENT NUMBER:

FILING DATE: 12/29/2000

ISSUE DATE:

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

> **ENTERED** Date: 4-2-01

FORM PTO-1595 (Rev. 6-93) OMB No. 0551-0011'(exp. 4/94)	17-2001 EET U.S. DEPARTMENT OF COMMENCE Patent and Trademark Office
17.76.71	1585814
To the Honorable Commissioner of Patents and Trademarks: I	
Name of conveying party(ies):	2. Name and address of receiving party(ies)
Peter J. Radusewicz	Name: General Electric Company
	518 %
Additional name(s) of conveying party(ies) attached Yes No	Internal Address:
	1C8
	Street Address: <u>One River Road</u>
3. Nature of conveyance:	
☐ Assignment ☐ Merger	City: <u>Schenectady</u> State: <u>NY</u> ZIP: <u>12345</u>
☐ Security Agreement ☐ Change of Name	
☐ Other	Additional name(s) & address(es) attached?  Yes  No
Execution Date: 12/28/00	Additional name(s) & address(es) attached? [] Tes [] NO
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application, the	execution date of the application is: <u>12/28/00</u>
A. Patent Application No.(s)	B. Patent No.(s)
	09751868
Additional numbers attack	ed? Yes X No
Additional numbers attack  5. Name and address of party to whom correspondence concerning document should be mailed:	•
Name and address of party to whom correspondence	ed? Yes X No
Name and address of party to whom correspondence concerning document should be mailed:     Name: <u>John S. Beulick</u>	6. Total number of applications and patents involved 1
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)\$40.00
Name and address of party to whom correspondence concerning document should be mailed:     Name: <u>John S. Beulick</u>	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: John S. Beulick  Internal Address: Armstrong Teasdale, LLP.  Street Address: One Metropolitan Sq. Suite 2600	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
Name and address of party to whom correspondence concerning document should be mailed:     Name: <u>John S. Beulick</u> Internal Address: <u>Armstrong Teasdale, LLP.</u>	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)
Name and address of party to whom correspondence concerning document should be mailed:     Name: John S. Beulick  Internal Address: Armstrong Teasdale, LLP.  Street Address: One Metropolitan Sq. Suite 2600	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: John S. Beulick  Internal Address: Armstrong Teasdale, LLP.  Street Address: One Metropolitan Sq. Suite 2600  City: St. Louis State: MO ZIP: 63102	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: John S. Beulick  Internal Address: Armstrong Teasdale, LLP.  Street Address: One Metropolitan Sq. Suite 2600  City: St. Louis State: MO ZIP: 63102	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: John S. Beulick  Internal Address: Armstrong Teasdale, LLP.  Street Address: One Metropolitan Sq. Suite 2600  City: St. Louis State: MO ZIP: 63102  DO NOT USE	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: John S. Beulick  Internal Address: Armstrong Teasdale, LLP.  Street Address: One Metropolitan Sq. Suite 2600  City: St. Louis State: MO ZIP: 63102  1/16/2001 NTHAI1 00000255 012384 09751868 DO NOT USE 1 FC:581 40.00 CK  9. Statement and signature. To the best of my knowledge and belief, the foregoing information	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: John S. Beulick  Internal Address: Armstrong Teasdale, LLP.  Street Address: One Metropolitan Sq. Suite 2600  City: St. Louis State: MO ZIP: 63102  1/16/2001 NTHAI1 00000255 012384 09751868 DO NOT USE 1 FC:581 40.00 CH  9. Statement and signature. To the best of my knowledge and belief, the foregoing informatio original document.  Patrick W. Rasche	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: John S. Beulick  Internal Address: Armstrong Teasdale, LLP.  Street Address: One Metropolitan Sq. Suite 2600  City: St. Louis State: MO ZIP: 63102  1/16/2001 MTHAI1 00000255 012384 09751868 DO NOT USE 1 FC:581 40.00 CH  9. Statement and signature. To the best of my knowledge and belief, the foregoing informatio original document.  Patrick W. Rasche	6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41)

#### ASSIGNMENT

In consideration of One Dollar and/or other good and valuable consideration, of which I acknowledge receipt, I, PETER J. RADUSEWICZ, of 13950 West Doral Lane, Lockport, Illinois 60414, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the **AUTOMATIC** TRANSFER improvements in **SWITCH** SYSTEMS AND CONTROLLERS, (Dkt No.: 11SW-4908), invented by me, as described in the application for United States patent executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

The aforementioned Assignor, PETER J. RADUSEWICZ, of 13950 West Doral Lane, Lockport, Illinois 60414, hereby declares that all statements made herein of my own knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

statements may jeopardize the validity of the application or any patent issuing thereon.
Signed at town of <u>CHICAGO</u> , State of <u>T. L</u> ,
this <u>58</u> day of <u>Occember</u> , 2000.
Peter J. Radusewicz
Witnessed by:
Witness Signature and Date
STEPHEN J BLANK

Printed Name of Witness





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

JULY 22, 2004

PTAS

PATRICK W. RASCHE ARMSTRONG TEASDALE LLP ONE METROPOLITAN SQUARE, SUITE 2600 ST. LOUIS, MO 63102

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 07/21/2004

REEL/FRAME: 014878/0992

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PAYACK, JR., WALTER PAUL

DOC DATE: 07/15/2004

ASSIGNOR:

KYRK, CARL

PATENT NUMBER:

DOC DATE: 07/19/2004

ASSIGNEE:

GENERAL ELECTRIC COMPANY 1 RIVER ROAD

SCHENECTADY, NEW YORK 12345

SERIAL NUMBER: 09751868

FILING DATE: 12/29/2000

ISSUE DATE:

TITLE: AUTOMATIC TRANSFER SWITCH SYSTEMS AND CONTROLLERS

Date:

OPR/ASSIGNMENTS 7/22/04 11:37 PAGE 3/5 RightFAX

014878/0992 PAGE 2

MARY BENTON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

4/5

RightFAX

#### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1 07/21/2004 500002948

SI	IRM	1221	<b>ON</b>	TYPE-

**NEW ASSIGNMENT** 

NATURE OF CONVEYANCE:

**ASSIGNMENT** 

#### **CONVEYING PARTY DATA**

Name	Execution Date
Walter Paul Payack, Jr.	07/15/2004
Carl Kyrk	07/19/2004

#### RECEIVING PARTY DATA

Name:	General Electric Company
Street Address:	1 River Road
City:	Schenectady
State/Country:	NEW YORK
Postal Code:	12345

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	09751868

#### **CORRESPONDENCE DATA**

Fax Number:

(314)612-2281

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: Email:

314-621-5070

Correspondent Name:

mgilmer@armstrongteasdale.com

Patrick W. Rasche

Address Line 1:

Armstrong Teasdale LLP

Address Line 2:

One Metropolitan Square, Suite 2600

Address Line 4:

St. Louis, MISSOURI 63102

NAME OF SUBMITTER:

Patrick W. Rasche

Total Attachments: 6

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OPR/ASSIGNMENTS

7/22/04 11:37 PAGE 5/5 RightFAX

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#### **ASSIGNMENT**

Attorney Docket No.

11SW-4908

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration, of which we acknowledge receipt, we, PETER JEROME RADUSEWICZ, of 880 Collinsburgh Road, West Newton, PA 15809, WALTER PAUL PAYACK, JR., of 4055 Riverglen Circle, Suwanee, GA 30024, and CARL KYRK, of 4712 West Beau Bien Boulevard, Lisle, IL 60532, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns, our entire respective rights, titles and interests in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled: AUTOMATIC TRANSFER SWITCH SYSTEMS AND CONTROLLERS, Atty. Dkt. No.: 11SW-4908), filed on: December 29, 2000, having Serial No.: 09/751,868, and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

	ASSIGNMENT		Attorney Docket No.  11SW-4908
	, 20	PETER JEROME RAD	USEWICZ
Witnessed by:	Signature	Date:	
Witnessed by:	Printed Name of Witness	— Date:	
	Printed Name of Witness		
July	/S, 20_ <i>D</i> <sup>y</sup>	WALTER PAUL PAYA	
Witnessed by:	Signature Signature	Date: July	(, 2004
Witnessed by:	Stephanie Payack Printed Name of Witness Dlenda Jones Signature		15, 2004

Glenda Jones
Printed Name of Witness

# **ASSIGNMENT**

Attorney Docket No.

11SW-4908

	, 20		
	·	CARL KYRK	
Witnessed by:	Signature	Date:	<del>-</del>
	Printed Name of Witness	_	
Witnessed by:	Signature	Date:	
	Printed Name of Witness	_	

#### ASSIGNMENT

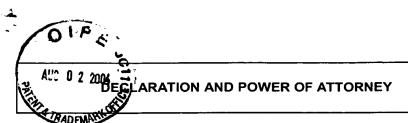
Attorney Docket No.

11SW-4908

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration, of which we acknowledge receipt, we, PETER JEROME RADUSEWICZ, of 880 Collinsburgh Road, West Newton, PA 15809, WALTER PAUL PAYACK, JR., of 4055 Riverglen Circle, Suwanee, GA 30024, and CARL KYRK, of 4712 West Beau Bien Boulevard, Lisle, IL 60532, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns, our entire respective rights, titles and interests in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled: AUTOMATIC TRANSFER SWITCH SYSTEMS AND CONTROLLERS, Atty. Dkt. No.: 11SW-4908), filed on: December 29, 2000, having Serial No.: 09/751,868, and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

# Attorney Docket No. **ASSIGNMENT** 11SW-4908 , 20 PETER JEROME RADUSEWICZ Witnessed by: Date:\_\_\_\_\_ Signature Printed Name of Witness Witnessed by: Date: Signature Printed Name of Witness , 20 WALTER PAUL PAYACK, JR. Witnessed by: Date:\_\_\_\_\_ Signature Printed Name of Witness Witnessed by: \_\_\_\_\_ Signature Date:\_\_\_\_ Printed Name of Witness

ASSIGNMENT	Attorney Docket No.  11SW-4908
7-19,2004 WW	
CARL KYRK	
Witnessed by: Date: 7	-19-04
MALK E. STACKS Printed Name of Witness	
Witnessed by: Signature Date: 7-15	-04-
Gerend Nonnery Printed Name of Witness	



Attorney Docket No. 11SW-4908

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint

inventor (if sever sought on the inv (Atty. Dkt. No.: 1) the specification of		below) of the UTOMATIC T	subjec <i>RANS</i>	t matter w	which is control of the control of t	claimed and VSTEMS AN	fc V <i>I</i>	or w	vhich a	ı p ≀ <i>O</i> .	atent is
(check one)	is attached was filed of and was ar					plication Ser 2003; and Ap					<u>,868</u> ,
	t I have reviewed as ended by any amend				e above	identified sp	e	cific	cation,	in	cluding
I acknowledge th accordance with 3	te duty to disclose 7 CFR §1.56.	information w	hich is	material	to the e	xamination (	of	thi	is app	lica	ition in
application(s) for application which have also identified	eign priority benefit patent or inventor's designated at least ed below, by checking, or any PCT internationals.	or plant breede one country oth ng the box, any	r's righ er than foreign	its certificant the Unite in application	ate(s), or d States on for pa	365(a) of an of America, tent, invento	y lis r's	PC sted s or	T inter below plant	, a bre	nd eder's
COUNTRY	APPLICATION NUMBER	DATE OF FIL (day, month, y		PRIORIT	TY CLAI	MED			TIFIE (ACH)		COPY
	NUMBER	(day, month, y	carj				Í		Yes	$\ddot{\sqcap}$	No
							Ī		Yes	Ħ	No
							Ī		Yes		No
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International applimatter of each of manner provided information as definational or PCT in	benefit under 35 U ication designating the claims of this ap by the first paragrap fined in 37 CFR §1. hternational filing de	the United State opplication is not oh of 35 U.S.C. 56 which occur ate of this application.	es of A disclos §112, I red bet cation:	merica, lissed in the lacknowled	ted belove prior Unicedge the o	w and, insofa ted States ap duty to disclo e of the prior	r p	as t lica e m	he sub tion in aterial	jec the	t e
U.S. PARENT AI OR PCT PAREN		PARENT FI		DATE		STATUS (patent and pending, at					
<del></del>	<del> </del>									_	
									_		
I hereby claim the	benefit under 35 U	.S.C. §119(e) o	f any L	Inited Stat	es provis	ional applica	ıti	on(	s) liste	d ł	pelow.
APPLICATION N	NUMBER(S)		FILI	NG DATE	(day, mo	onth, year)					
							_			—	
			<b> -</b>							—	

Attorney Docket No. 11SW-4908

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) jointly, and each of them severally, with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith.

Scott R. Hayden (Reg. No. 41,821), Henry J. Policinski (Reg. No. 26,621), and Michael Gnibus (Reg. No. 38,162), all of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06828; Karl A. Vick (Reg. No. 33,288), of General Electric Company, 41 Woodford Avenue, Plainville, CT 06062; Patrick W. Rasche (Reg. No. 37,916), John S. Beulick (Reg. No. 33,338), Robert B. Reeser III (Reg. No. 45,548), William J. Zychlewicz (Reg. No. 51,366), Jay J. Hoette (Reg. No. 50,666), and Phillip A. Shipley (Reg. No. 51,357); all of Armstrong Teasdale LLP, One Metropolitan Square, St. Louis, MO 63102.

Send Correspondence to: Patrick W. Rasche Armstrong Teasdale LLP One Metropolitan Square, Suite 2600 St. Louis, MO 63102 Direct Telephone Calls To:

Patrick W. Rasche 314-621-5070

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, statements were made with the knowledge that willfully false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that all such willful false statements may jeopardize the validity of the application and any patent issued thereon.

#### SOLE OR FIRST INVENTOR:

Full Name: Peter Jerome Radusewicz	
Signature:	Date:
Residence: West Newton, PENNSYLVANIA	
Citizenship: U.S.	
Post Office Address: 880 Collinsburgh Road, West Newton, PA 15809	
SECOND JOINT INVENTOR, IF ANY:	
Full Name: Walter Paul Payack, Jr.	
Signature: Kayal	Date: 15 July 2004
Residence: Suwanee, GEORGIA	
Citizenship: U.S.	
Post Office Address: 4055 Riverglen Circle, Suwanee, GA 30024	

Attorney Docket No. 11SW-4908

THIRD JOINT	INVENTOR, IF ANY:		
Full Name:	Carl Kyrk		<u>.</u>
Signature:		Date:	
Residence:	Lisle, ILLINOIS		
Citizenship:	U.S.		
Post Office Ad	dress: 4712 West Beau Bien Boulev	vard, Lisle, IL 60532	

Attorney Docket No. 11SW-4908

below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint

(check one)	was filed	is attached hereto. was filed on <u>December 29, 2000</u> as Application Serial No. <u>09/751,868,</u> and was amended on <u>February 4, 2003; October 9, 2003; and April 12, 2004,</u>									
	t I have reviewed a ended by any amend				ove	identified sp	e	cifi	ication,	in	cluding
I acknowledge the duty to disclose information which is material to the examination of this application is accordance with 37 CFR §1.56.							ation in				
application(s) for application which have also identified	reign priority benefit patent or inventor's designated at least ed below, by checkits, or any PCT inter- claimed:	or plant breede one country oth ng the box, any	r's righter than foreign	nts certificate(s the United Stan application for	), or ates or or pa	365(a) of an of America, tent, invento	ıy lis or's	PC stec	T inter d below r plant l	, a	nd eder's
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							悖	寸	Yes	一	No
International appl matter of each of manner provided information as de national or PCT in	benefit under 35 U ication designating the claims of this ap by the first paragrap fined in 37 CFR §1. nternational filing d	the United State oplication is not oh of 35 U.S.C. 56 which occurate of this application.	es of A disclos §112, I red bet cation:	merica, listed to sed in the prior I acknowledge ween the filing	elov Uni the o	w and, insofated States ap duty to disclose of the prior	r pl	as i lica	the subj ation in naterial	jec the	t e
U.S. PARENT APPLICATION OR PCT PARENT NUMBER  (day, month			DATE 		STATUS (patent and pending, at						
				<del></del>			_	_	-		
		1					_				
I hereby claim the	benefit under 35 U	.S.C. §119(e) o	f any U	Inited States pr	ovis	ional applica	ıti	on	(s) liste	d t	elow.
APPLICATION NUMBER(S)			FILING DATE (day, month, year)								
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# Attorney Docket No. 11SW-4908

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#### SOLE OR FIRST INVENTOR:

Full Name: Peter Jerome Radusewicz	
Signature: Viter Jerome Nadusey	Date: 7/20/04
Residence: West Newton, PENNSYLVANIA	
Citizenship: U.S.	
Post Office Address: 880 Collinsburgh Road, West Newton, PA 15809	
SECOND JOINT INVENTOR, IF ANY:	
Full Name: Walter Paul Payack, Jr.	
Signature:	Date:
Residence: Suwanee, GEORGIA	
Citizenship: U.S.	
Post Office Address: 4055 Riverglen Circle, Suwanee, GA 30024	

Attorney Docket No. 11SW-4908

THIRD JOINT	INVENTOR, IF ANY:		
Full Name:	Carl Kyrk		12-14-11
Signature:		Date:	
Residence:	Lisle, ILLINOIS		
Citizenship:	U.S		
Post Office Add	lress: 4712 West Beau B	Bien Boulevard, Lisle, IL 60532	

Attorney Docket No. 11SW-4908

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if several names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: AUTOMATIC TRANSFER SWITCH SYSTEMS AND CONTROLLERS (Atty. Dkt. No.: 11SW-4908), the specification of which:

(check one)		is attached her	eto.	
,	$\boxtimes$		December 29, 2000	_ as Application Serial No. <u>09/751,868</u> , tober 9, 2003; and April 12, 2004,
I hereby state t				e above identified specification, including

the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119 (a)-(d) or (f) or 365(b) of any foreign application(s) for patent or inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below, and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION	DATE OF FILING	PRIORITY CLAIMED	CERTIFIED COPY
	NUMBER	(day, month, year)		ATTACHED
				Yes No
				Yes No
				☐ Yes ☐ No
				☐ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. PARENT APPLICATION	PARENT FILING DATE	STATUS
OR PCT PARENT NUMBER	(day, month, year)	(patent and number,
		pending, abandoned)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER(S)	FILING DATE (day, month, year)

# Attorney Docket No. 11SW-4908

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Signature:	Date:
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Citizenship: U.S.	
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SECOND JOINT INVENTOR, IF ANY:	
Full Name: Walter Paul Payack, Jr.	<u></u>
Signature:	Date:
Residence: Suwanee, GEORGIA	
Citizenship: U.S.	
Post Office Address: 4055 Riverglen Circle, Suwanee, GA 30024	

Attorney Docket No. 11SW-4908

THIRD JOINT INVENTOR, IF ANY:	
Full Name: Carl Kyrk	
Signature: Mal	Date: 07-18-2064
Residence: Lisle, ILLINOIS	· · · · · · · · · · · · · · · · · · ·
Citizenship: U.S.	
Post Office Address: 4712 West Beau Bien Boul	evard, Lisle, IL 60532

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter J. Radusewicz, et al.

Art Unit: 2836

Serial No.: 09/751,868

Examiner: Gregory J. Toatley

Filed: December 29, 2000

AUTOMATIC TRANSFER SWITCH SYSTEMS AND

CONTROLLERS

## STATEMENT OF OMITTED INVENTOR UNDER 37 C.F.R. 1.48(a)

Mail Stop: Petition

Hon. Commissioner for Patents

P.O. Box 1450

For:

Alexandria VA 22313-1450

This statement is submitted under 37 C.F.R. 1.48(a)(2) to add previously omitted inventor, <u>Walter Paul Payack</u>, <u>Jr.</u>, as co-inventor in Patent Application Serial No.: <u>09/751,868</u>, filed: <u>December 29, 2000</u>. This error in inventorship occurred without deceptive intent on my part.

<u>July /S</u>, 2004

ate Walter Paul Payack, Ju